

# Agreement to assign and investor declaration

## AGREEMENT TO ASSIGN

If you had a share account or a mortgage with the Society on 30th April, 2000 and have kept an account continuously since that date, the wording in paragraphs 1 to 3 below does not apply to you, however it must not be deleted. Please list your share and mortgage account number(s)

- By applying to open a share account on or after 1st May, 2000 I agree with the Society and the Charities Aid Foundation ("the CAF") that I will assign to the CAF [or to any charity(ies) nominated by it or by the Society under the provisions of a deed dated 28th April, 2000 between the Society and the CAF, in which case references to the CAF shall include references to any other charity(ies), but to no other person] the rights to any relevant conversion benefits [defined below]. This obligation will not apply to me if I fall within any class of persons which, as at today's date, the Society wishes to be excluded from such obligation. This agreement is irrevocable and authorizes the Society to transfer to the CAF any such benefits without further notice to me. I understand that neither the Society nor the CAF will release me from this agreement or vary its terms and I will continue to be bound by the above condition even if the Society decides at some time in the future [and announces any such decision by press release or otherwise] that it is no longer in the best interests of the Society to continue with the above assignment condition generally in respect of new members.
- (a) "Relevant conversion benefits" means any benefits to which I might become entitled as a shareholding member of the Society under the terms of any future transfer of the Society's business to a company [i.e. on a conversion or takeover] which is completed at any time within the five years immediately following the date on which my share account is opened [or, if applicable, the shorter period as set out in the list available from the Society's Secretary]. "Relevant conversion benefits" does not include the statutory right to have shares in the Society [including any balances on share accounts] converted into deposits with the company on a conversion or takeover.  
(b) If the Society merges with any other society, after the date of such merger the "Society" includes such other society.
- I authorise the Society to pass to the CAF such information relating to me and my accounts with the Society as the CAF may reasonably require in order to administer this agreement to assign and the relevant conversion benefits and for no other purpose. I consent to both the Society and the CAF holding and processing such information for such purposes.
- A list of the classes of persons which the Society currently wishes to be excluded from the obligation to assign or in respect of which a shorter period applies [which list may change from time to time but not with retrospective effect] is available on request from the Society's Secretary at its principal office.

## DATA PROTECTION

Information which you provide to the Harpenden Building Society or that is obtained by us through our dealings with you may be held on our computers and in other ways and used by us to administer your account, for statistical analysis, for debt collection and fraud prevention and to bring to your attention (by mail, telephone, email or otherwise) products or services of the Harpenden Building Society or other selected suppliers which may be of interest to you. With respect to your agreement to assign, to the Charities Aid Foundation or its successor(s) ("the CAF"), your rights to any relevant conversion benefits, we will pass on to the CAF such limited information relating to you and your account as may be necessary to fulfil your agreement to assign. Such information shall only be passed on to CAF in the event that the Harpenden Building Society is to transfer its business to a company (i.e. on a conversion or takeover).

## CONSENT

I have read the above section entitled Data Protection. By signing this form I consent to the uses and disclosures of information listed. If you do not wish your information to be used for marketing purposes, please tick this box.

## INVESTOR DECLARATION

I HEREBY APPLY FOR A HARPENDEN BUILDING SOCIETY 'SIMPLY ISA' (CASH ISA) AND DECLARE THAT:

- All subscriptions made, and to be made, belong to me.
- I am 16 years of age or over.
- I have not subscribed, and will not subscribe, more than £7,200 in total to a cash ISA and a stocks and shares ISA in the same tax year.
- I have not subscribed, and will not subscribe, more than £3,600 of the overall £7,200 total to a cash ISA.
- I have not subscribed, and will not subscribe, to another cash ISA in the same tax year that I subscribe to this cash ISA.
- I am resident and ordinarily resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas) are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform Harpenden Building Society if I cease to be so resident and ordinarily resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties.

## I AUTHORISE HARPENDEN BUILDING SOCIETY

- To hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash.
- To make on my behalf any claims to relief from tax in respect of ISA investments.
- On my written request to transfer or pay to me, as the case may be, ISA investments, interest, dividends, rights or other proceeds in respect of such investments or any cash.
- I have read the information applicable to this investment provided by the Society and accept the terms thereof.
- I agree to be bound by the Rules of the Society and declare that the information provided on the Application Form is true to the best of my knowledge and belief.

Signature:

Date:



# Application Form: Individual Savings Account

Where did you hear about Harpenden Building Society?

Title:  Surname:

First Names:   
(in full)

Permanent residential address

Postcode: (must be entered)  Telephone:

Occupation:

Date of Birth:

Do you have a National Insurance Number (tick one box) Yes  No

If yes, you must enter it here:

You should be able to find your National Insurance number on a pay slip form, P45 or P50, a letter from HM Revenue & Customs, a letter from the DSS or a pension order book.

- I am applying to subscribe to a Cash ISA for the Tax Year  and each subsequent year until further notice
- I wish to invest  (minimum £1)
- Interest will be compounded annually unless otherwise advised

**Interest Instructions:** I/We require interest to be: (please tick one)

1. Added to the account

2. Paid into my/our bank  Account No.

at  Bank PLC Address of bank:

Sort code:

**IMPORTANT: PLEASE READ AND SIGN THE DECLARATION OVERLEAF** 

## For Office Use only

Branch/Agency:  ID:

Date Opened:  Initials:

Customer Number:  Date Checked:  Initials: